

Customized FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

P06473USO/TPS

U.S. APPLICATION NO.

09/367496

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO.
PCT/FR98/00328

INTERNATIONAL FILING DATE
19 FEBRUARY 1998

PRIORITY DATE CLAIMED
19 FEBRUARY 1997

TITLE OF INVENTION: USE OF ULIP PROTEINS IN THE DIAGNOSIS AND THERAPY OF CANCER...

APPLICANT(S) FOR DO/EO/US: AGUERA, Michele et al.

Applicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
- ☒ 3. This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 371(b) and PCT Art. 22 and 39(1).
- ☒ 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☒ 5. A **copy** of the International Application as filed (35 U.S.C. 371 (c)(2))
 - ☐ a. is transmitted herewith (required only if not transmitted by the International Bureau).
 - ☒ b. has been transmitted by the International Bureau.
 - ☐ c. is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☐ 6. A **translation** of the International Application into English (35 U.S.C. 371(c)(2)).
- ☒ 7. Amendments to the claims of the International Appln. under PCT Article 19 (35 USC 371 (c)(3))
 - ☐ a. are transmitted herewith (required only if not transmitted by the International Bureau).
 - ☐ b. have been transmitted by the International Bureau.
 - ☐ c. have not been made; however, the time limit for making such amendments had NOT expired.
 - ☒ d. have not been made and will not be made.
- ☐ 8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☐ 9. An **oath** or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☐ 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

- ☐ 11. An **Information Disclosure Statement** under 37 C.F.R. 1.97 and 1.98.
- ☐ 12. An **Assignment** document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☐ 13. A **First preliminary amendment**.
- ☐ A **Second or subsequent preliminary amendment**.
- ☐ 14. A **substitute specification**.
- ☐ 15. A **change of power of attorney and/or address letter**.
- ☐ 16. **Other items or information:**
 - ☐ **Small Entity Statement**
 - ☐
- ☐ A **copy of the Notification of Missing Requirements** under 35 U.S.C. 371.
- ☐ In the event that a petition for extension of time is required to be submitted herewith, and in the event that a separate petition does not accompany this response, applicant hereby petitions under 37 CFR 1.136(a) for an extension of time of as many months as are required to render this submission timely. Any fee is authorized in 17(c).

Date: 17 August 1999

514 Rec **PCT/PTO** 09/367496
17 AUG 1999

INTERNATIONAL APPLICATION NO. PCT/FR98/00328		INTERNATIONAL FILING DATE 19 FEBRUARY 1998		PRIORITY DATE CLAIMED 19 FEBRUARY 1997	
<input checked="" type="checkbox"/> 17. The following fees are submitted: <input checked="" type="checkbox"/> Basic National Fee (37 CFR 1.492 (a) (1)-(5):					
<input checked="" type="checkbox"/> Search Report has been prepared by the EPO or JPO \$ 840 <input type="checkbox"/> International preliminary examination fee paid to USPTO \$ 670 <input type="checkbox"/> No Int'l Prelim. Ex. fee paid to USPTO but Int'l Search fee paid to USPTO \$ 760 <input type="checkbox"/> Neither Int'l Prelim. Exam. fee nor Int'l Search fee paid to USPTO \$ 970 <input type="checkbox"/> Int.'l Prelim. Ex. fee paid to USPTO & all claims satisfied PCT Art. 33(1)-(4) \$ 96					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 840.00	
<input type="checkbox"/> Surcharge of \$130 for furnishing the oath or declaration later than from the earliest claimed priority date (37 CFR 1.492(e)).				<input type="checkbox"/> 20 mos. <input type="checkbox"/> 30 mos. +	\$
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	- 20 =		X \$18 =	\$	
Independent Claims	- 03 =		X \$78 =	\$	
<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)			+ \$260 =	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 840.00	
<input type="checkbox"/> Reduction of 1/2 for filing by small entity, if applicable. Small Entity Statement must also be filed.				\$	
SUBTOTAL =				\$ 840.00	
<input type="checkbox"/> Processing fee of \$130 for furnishing the English translation later than from the earliest claimed priority date (37 CFR 1.492(f)).				<input type="checkbox"/> 20 mos. <input type="checkbox"/> 30 mos. +	\$
TOTAL NATIONAL FEE =				\$ 840.00	
<input type="checkbox"/> Fee for recording the enclosed assignment, accompanied by a cover sheet - \$40 per property				\$	
TOTAL FEES ENCLOSED =				\$ 840.00	
<i>Amount to be</i>				Refunded	\$
				Charged	\$
<input checked="" type="checkbox"/> a. A check in the amount of \$ 840.00 to cover the above fees is enclosed. <input type="checkbox"/> b. Please charge my Deposit Account No. 12-0555 in the amount of \$ to cover the above fees. <input checked="" type="checkbox"/> c. The Commissioner is hereby authorized to charge any additional fees required or credit overpayment to Deposit Account No. 12-0555.					
Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
Address correspondence to: THOMAS P. SARRO					
At the address (below) of CUSTOMER NO. 000881.			SIGNATURE: <u>Douglas E. Jackson</u>		
LARSON & TAYLOR 1199 NORTH FAIRFAX ST. SUITE 900 ALEXANDRIA, VA 22314			NAME: Douglas E. Jackson		
			REG. NO.: 28518		
			PHONE NO.: 703-739-4900		
			Date: 17 August 1999		